

EXECUTIVE LOBBYING
EMPLOYER/PRINCIPAL EXPENDITURE REPORT
 FORM 508
 FEBRUARY 1994 EDITION
 U.S. HOUSE OF REPRESENTATIVES

Pursuant to HSA-R.S. 49:76(2)(a), an employer or principal of a lobbyist may elect to file the Lobbying Expenditure Reports as required by Title 49 on behalf of all of its lobbyists. This reporting form is only to be used by principals or employers who have exercised this option by timely filing the Executive Lobbying Expenditure Reporting Designation Form and are reporting on behalf of their registered executive lobbyists.

Hand deliver or mail to: 2415 Quail Drive, 3rd Floor, Baton Rouge, LA 70808

OR

Fax to: (225) 763-8787 or (225) 763-8780

AMENDMENT

1. EMPLOYER/PRINCIPAL J+ W. Seligman + Co. Inc.
2. BUSINESS ADDRESS 100 Park Ave NY NY 10017
Street and No. City State Zip
- MAILING ADDRESS S/A
Street and No. City State Zip
3. CONTACT PERSON Ching Stormberg III Tommy Edward E.
Last First MI
4. MAILING ADDRESS
(If different from above) Street and No. City State Zip
5. PHONE NUMBER (212) 850-1832
Area Code and Phone Number

FOR OFFICE USE ONLY

Postmark Date: 8/26/07

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6. List the names and executive lobbyist registration numbers of the lobbyists on whose behalf this report is filed:

- 1) Name: Buckley Dorothy A EXEC.ID.# 99
Last First MI
- 2) Name: _____ EXEC.ID.# _____
Last First MI
- 3) Name: _____ EXEC.ID.# _____
Last First MI

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EXECUTIVE LOBBYING EMPLOYER/PRINCIPAL'S EXPENDITURE REPORT

Reporting Period: THROUGH SEPTEMBER 30, 2007 - DUE AUGUST 1, 2008

Reporting Period: THROUGH DECEMBER 31, 2007 - DUE FEBRUARY 1, 2009

Pursuant to LSA-R.S. 49:766(2)(a), an employer or principal of a lobbyist may elect to file the Lobbying Expenditure Reports as required by Title 49 on behalf of all of its lobbyists. This reporting form is only to be used by principals or employers who have exercised this option by timely filing the Executive Lobbying Expenditure Reporting Designation Form and are reporting on behalf of their registered executive lobbyists.

Hand deliver or mail to: 2415 Quail Drive, 3rd Floor, Baton Rouge, LA 70802

OR

Fax to: (225) 763-8767 or (225) 763-8700

Amendment(s)
Attached

1. EMPLOYER/PRINCIPAL J + W Seligman & Co., Inc

2. BUSINESS ADDRESS 100 Park Avenue NY NY 10017
Street and No. City State Zip

MAILING ADDRESS S/A
Street and No. City State Zip

3. CONTACT PERSON: Stranberg, III Tammy E. G.
Last First MI

4. MAILING ADDRESS
(If different from above) Street and No. City State Zip

5. PHONE NUMBER (212) 850-1804
Area Code and Phone Number

6. List the names and executive lobbyist registration numbers of the lobbyists on whose behalf this report is filed:

1) Name: Buckley Dorothy A EXEC. ID.# 99
Last First MI
2) Name: _____ EXEC. ID.# _____
Last First MI
3) Name: _____ EXEC. ID.# _____
Last First MI

AUDITED

Form 508, AUG 7/07 2007

Page 1 of 8

By: JA

Missing numbered pages were blank and had no information on them.

FOR OFFICE USE ONLY
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By: G
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OFFICE OF THE ATTORNEY GENERAL

4) Name: _____ EXEC.ID.# _____
 Last First MI

5) Name: _____ EXEC.ID.# _____
 Last First MI

6) Name: _____ EXEC.ID.# _____
 Last First MI

7) Name: _____ EXEC.ID.# _____
 Last First MI

8) Name: _____ EXEC.ID.# _____
 Last First MI

9) Name: _____ EXEC.ID.# _____
 Last First MI

10) Name: _____ EXEC.ID.# _____
 Last First MI

7. PROVIDE BELOW: (a) the aggregate total of all expenditures during the January 1 - June 30 reporting period; (b) the aggregate total of all expenditures during the July 1 - December 31 reporting period when applicable; (c) the aggregate total of all expenditures made by the principal/employer in a calendar year.

a. Total of all executive lobbying expenditures made January 1 through June 30: \$ 758.10
 (Include expenditures from Schedules A and B)

b. Total of all executive lobbying expenditures made July 1 through December 31: \$ N/A
 (When Applicable) (Include expenditures from Schedules A and B)

c. Total of all executive lobbying expenditures made during calendar year: \$ 758.10
 (Line "a" added to Line "b" should equal Line "c")

8. COMPLETE AN ATTACHMENT FORM for each of your registered executive lobbyists.

CERTIFICATION OF ACCURACY

I hereby certify that the information contained herein is true and correct to the best of my knowledge, information and belief; and that no information required by LSA-R.S. 49:71 et seq. has been deliberately omitted.

Dorothy A. Buckler
 Signature of Employer/Principal or Representative

Dorothy A. Buckler
 Print or Type Full Name

2007 AUG 1 AM 9:39

EXECUTIVE LOBBYING EMPLOYER/PRINCIPAL EXPENDITURE REPORT ATTACHMENT

This Attachment is to be used to complete Item #8 of Form 508, the report form for principals and employers who have elected to report on behalf of their executive lobbyists. Make as many copies of this form as needed for the completion of the expenditure report. Identify each page with a number and indicate the total number of pages being submitted.

1) LOBBYIST: Buckley Dorothy A EXEC ID # 99
Last First MI

A. Total of all executive lobbying expenditures made January 1 through June 30: \$ 758.10
(Include expenditures from Schedules A and B)

Total of all executive lobbying expenditures made July 1 through December 31: \$ N/A
(When Applicable) (Include expenditures from Schedules A and B)

Total of all executive lobbying expenditures made during calendar year: \$ 758.10
(Adding above expenditure lines should equal this total.)

B. Did this lobbyist make an expenditure exceeding \$50 on one occasion for an executive branch official:

From January 1 through June 30? ☐ Yes ☒ No
From July 1 through December 31? ☐ Yes ☐ No ☒ NA

If the answer to either question in B above is YES, complete Schedule A and attach.

C. Did this lobbyist make expenditures exceeding the sum of \$250 for an executive branch official:

From January 1 through June 30? Yes ☐ No ☒
From July 1 through December 31? Yes ☐ No ☐ NA ☒

If the answer to either question in C above is YES, complete Schedule A and attach.

D. Did this lobbyist expend funds for any reception, social gathering, or other function to which more than twenty-five executive branch officials were invited during this reporting period?

Yes ☐ No ☒

If the answer to D above is YES, complete Schedule B and attach.

E. PROVIDE BELOW (a) the name of the executive branch department as listed in the executive branch schedule; (b) the aggregate total of all expenditures attributable to the department made by this lobbyist during the January 1 - June 30 reporting period; (c) the aggregate total of all expenditures attributable to the department made by this lobbyist during the July 1 - December 31 reporting period when applicable; (d) the aggregate total of all expenditures made by this lobbyist in a calendar year attributable to the department.

- 1) a. Name of Department: Treasury
- b. Total of all expenditures made January 1 through June 30: \$ 758.10
- c. Total of all expenditures made July 1 through December 31: \$ N/A
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ 758.10
- 2) a. Name of Department: _____
- b. Total of all expenditures made January 1 through June 30: \$ _____
- c. Total of all expenditures made July 1 through December 31: \$ _____
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ _____
- 3) a. Name of Department: _____
- b. Total of all expenditures made January 1 through June 30: \$ _____
- c. Total of all expenditures made July 1 through December 31: \$ _____
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ _____
- 4) a. Name of Department: _____
- b. Total of all expenditures made January 1 through June 30: \$ _____
- c. Total of all expenditures made July 1 through December 31: \$ _____
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ _____

F. PROVIDE BELOW (a) the name of the executive branch department and individual agency as listed in the executive branch schedule; (b) the aggregate total of all expenditures attributable to the agency made by this lobbyist during the January 1 - June 30 reporting period; (c) the aggregate total of all expenditures attributable to the agency made by this lobbyist during the July 1 - December 31 reporting period when applicable; (d) the aggregate total of all expenditures made by this lobbyist in a calendar year attributable to the agency.

1) a. Name of Department and Individual Agency: TREASURY
Louisiana School ERS

b. Total of all expenditures made January 1 through June 30: \$ 758.10

c. Total of all expenditures made July 1 through December 31: \$ N/A
(When applicable)

d. Total of all expenditures made during the calendar year: \$ 758.10

2) a. Name of Department and Individual Agency: _____

b. Total of all expenditures made January 1 through June 30: \$ _____

c. Total of all expenditures made July 1 through December 31: \$ _____
(When applicable)

d. Total of all expenditures made during the calendar year: \$ _____

3) a. Name of Department and Individual Agency: _____

b. Total of all expenditures made January 1 through June 30: \$ _____

c. Total of all expenditures made July 1 through December 31: \$ _____
(When applicable)

d. Total of all expenditures made during the calendar year: \$ _____

4) a. Name of Department and Individual Agency: _____

b. Total of all expenditures made January 1 through June 30: \$ _____

c. Total of all expenditures made July 1 through December 31: \$ _____
(When applicable)

d. Total of all expenditures made during the calendar year: \$ _____

Buckley Dorothy
Last First

A
MI

99

SCHEDULE A: EXPENDITURES FOR EXECUTIVE BRANCH OFFICIALS

This schedule must be completed if, during the period January 1 through June 30 or the period July 1 through December 31, one of your registered executive lobbyists made either a) an expenditure for any executive branch official exceeding \$50 on any one occasion or b) aggregate expenditures exceeding \$250 for any one executive branch official during a reporting period, then you must provide the name of the lobbyist who made the expenditure(s) and the aggregate total of expenditures made on that individual in that reporting period. Make as many copies as are necessary. Each lobbyist should have his own Schedule A if one is required. NOTE: Report covering July - December is cumulative. You must include reportable expenditures from the first half of the year in Column #3.

[illegible]

Schedule A

January 1 through June 30

Richard Ranallo (Segal Advisors) + Associate

Elizabeth Fulger (ARK Capital) + Associate

2 Associates (CS McKee)

Lori Pierce (State Treasurer's Office)

Warren Ponder (LA School ERS) + Guest

Brendan Brosnan (LA School ERS) + Guest

Charles Bujol (LA School ERS) + Guest

Charles Hall (LA School ERS) + Guest

Betty Crain (LA School ERS)

Judith McKee (LA School ERS)

Gene Restor (LA School ERS)

Dorothy Buckley - J & W Seligman employee